IAP13 Rec'd PCT/PTO 20 NOV 2006

Attorney Docket No. MIT-170 (058420/158924)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Watts, et al.

CONFIRMATION NO.: 4624

SERIAL NO.:

10/533,370

GROUP NO.:

2874

FILING DATE:

April 29, 2005

EXAMINER:

Wong, Tina Mei Seng

TITLE:

AN INTEGRATED OPTIC POLARIZATION CONVERTER BASED

ON STRUCTURAL CHIRALITY

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 16th day of November, 2006.

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Submitted herewith are:

- 1. Transmittal Form (1 pg.);
- 2. Fee Transmittal (1 pg.);
- 3. Amendment and Response (7 pgs.);
- 4. Check in the amount of \$400.00; and
- 5. Return receipt postcard.

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|--|--|----------------|---|---|-----------|---|--|--|--|--|
| OTT WAR | | | Application | Application Serial Number | | 10/533,370 | | | | |
| (101 2 0 2006 B) | | | Filing Date | | | April 29, 2005 | | | | |
| NOV L E | | | First Named | First Named Inventor | | Watts | | | | |
| TRANSMITTAL | | | Group Art U | Group Art Unit | | 2874 | | | | |
| | | | Examiner N | Examiner Name | | Wong, Tina Mei Seng | | | | |
| FORM | | | Attorney Do | Attorney Docket No. | | MIT-170 | | | | |
| | | | Patent No. | Patent No. | | Not applicable | | | | |
| | | | Issue Date | Not applicabl | | Not applicable | | | | |
| ENCLOSURES (check all that apply) | | | | | | | | | | |
| ⊠ Fe | e Transmittal Form | | Copy of Notice | e to File Missing | Ε | | | | | |
| | ☐ Check Attached | | Parts of Applic | cation | | of Patent Appeals and Interferences | | | | |
| | Copy of Fee Transmittal Form | | Formal Drawin | ng(s) | | Appeal Brief (in triplicate) | | | | |
| \boxtimes | Amendment/Response Preliminary After Final Affidavits/declaration(s) Letter to Official Draftsperson including Drawings | Request For Co | | | |] Status Inquiry | | | | |
| | | | Examination (I Transmittal | RCE) | Þ | Return Receipt Postcard | | | | |
| | | | Power of Attor (Revocation of | rney f Prior Powers) | × | · | | | | |
| | [Total Sheets] | | Terminal Disc | laimer | | Certificate of Facsimile Transmission under 37 C.F.R. 1.8 | | | | |
| | Petition for Extension of Time | | Executed Declaration and Power of Attorney for Utility or Design Patent Application | | | Additional Enclosure(s) (please identify below) | | | | |
| | Supplemental Information Disclosure Statement | | Small Entity S | tatement | | | | | | |
| | Form PTO-1449 Copies of cited reference | | CD(s) for large | CD(s) for large table or computer program | | | | | | |
| | Certified Copy of Priority Document(s) | | Amendment A | Amendment After Allowance | | | | | | |
| | Sequence Listing submission Paper Copy/CD Computer Readable Copy Statement verifying identity of above | | Request for Ce Correction Certificate duplicate) | of Correction (in | | · | | | | |
| CORR | ESPONDENCE ADDRESS | | | SIGNATURE BLO | <u>oc</u> | K | | | | |
| Direct all correspondence to: Patent Administrator Goodwin Procter LLP Exchange Place Boston, MA 02109 Tel. No.: (617) 570-1000 Fax No.: (617) 523-1231 Customer No. 051414 | | | | Respectfully submitted, Date: November 16, 2006 Reg. No.: 44,381 Tel. No.: (617) 570-1806 Fax No.: (617) 523-1231 Respectfully submitted, Natasha C. Us Attorney for the Applicants Goodwin Procter LLP Exchange Place | | | | | | |
| | | <u> </u> | | | | Boston, MA 02109 | | | | |

FY 2006

| Complete if Known | | | | | | | |
|---------------------------|---------------------|--|--|--|--|--|--|
| Application Serial Number | 10/533,370 | | | | | | |
| Filing Date | April 29, 2005 | | | | | | |
| First Named Inventor | Cherchi | | | | | | |
| Group Art Unit | 2874 | | | | | | |
| Examiner Name | Wong, Tina Mei Seng | | | | | | |
| Attorney Docket No. | MIT-170 | | | | | | |

| METHOD OF PAYMENT | | FEE CALCULATION (continued) | | | | |
|---|-----------|--------------------------------|--|---------------------------------------|--|--|
| 1. Payment Enclosed: | 3. ADD | 3. ADDITIONAL FEES | | | | |
| Check Money Order Other | Large | Small | | | | |
| | Entity | Entity | n n 14 | n n | | |
| 2. The Commissioner is hereby authorized to credit | Fee | Fee | Fee Description | Fee Paid | | |
| or charge any fee indicated below for this submission | (\$) | (\$) | | | | |
| to Deposit Account No. 07-1700. | 130 | 65 | Surcharge - late filing fee or oath | | | |
| Required Fees (copy of this sheet enclosed). | 50 | 25 | Surcharge - late ining fee of oath Surcharge - late provisional filing fee or cover | | | |
| Additional fee required under 37 CFR 1.16 and 1.17. | 30 | 23 | sheet | | | |
| Overpayment Credit. | 130 | 130 | Non-English specification | | | |
| 3. Applicant claims small entity status. | 2,520 | 2,520 | Request for ex parte reexamination | · · · · · · · · · · · · · · · · · · · | | |
| FEE CALCULATION | 120 | 60 | Extension for reply within first month | | | |
| FILING/SEARCH/EXAM/SIZE FEES | 450 | 225 | Extension for reply within second month | | | |
| Large Entity | 1020 | 510 | Extension for reply within third month | | | |
| Fee (\$) Fee Description Fee Paid | 1590 | 795 | Extension for reply within fourth month | | | |
| | 2160 | 1080 | Extension for reply within fifth month | | | |
| 300 Utility filing fee | 500 | 250 | Notice of Appeal | | | |
| 500 Utility search fee | 500 | 250 | Filing a brief in support of an appeal | | | |
| - 200 Utility exam fee | 1000 | 500 | Request for oral hearing | | | |
| 250 Utility size fee (each add'l 50 pgs. over 100) | 400 | 400 | Petitions to the Commissioner (Gp. I) | | | |
| 200 Design filing fee | 200 | 200 | Petitions to the Commissioner (Gp. II) | | | |
| 100 Design search fee | 130 | 130 | Petitions to the Commissioner (Gp. III) | | | |
| 130 Design exam fee | 180 | 180 | Submission of Information Disclosure Statement | | | |
| 250 Design size fee (each add'l 50 pgs. over 100) | 790 | 395 | Filing a submission after final | | | |
| | '30 | 373 | rejection (37 CFR 1.129(a)) | - | | |
| FC:1614 Number Number 400.00 Rate Amount | 790 | 395 | For each additional invention to be | | | |
| Filed Extra | ''' | | examined (37 CFR 1.129(b)) | | | |
| Total Claims $-20 = x $50.00 =$ | 100 | 100 | Certificate of Correction for | | | |
| • | | | applicant's error | | | |
| Independent | 130 | 65 | Submission of Terminal Disclaimer | | | |
| Claims $-3 = x $200.00 =$ | Other fee | | | | | |
| | Other fee | (Specify) | | | | |
| Multiple Dependent Claim(s), if any \$360.00 = | | | | | | |
| TOTAL: SMALL ENTITY DISCOUNT: | | | | | | |
| SUBTOTAL (1) (\$) | 1 | | | | | |
| 2. AMENDMENT CLAIM FEES | | | | | | |
| Claims Highest No. Present Rate Fee Paid | | | SUBTOTAL (3) | (\$) 0.00 | | |
| Remaining Previously Extra | | | | | | |
| After Amend. Paid For | | | | | | |
| Total 16 $-20 = 0$ x \$ 50.00 = | | | SUBTOTAL (I) | | | |
| Total 16 -20 = 0 x \$ 50.00 = Indep. 5 - 3 = 2 x \$200.00 = 400.00 | | | SUBTOTAL (1) | 400.00 | | |
| First Presentation of Multiple Dep. +\$360.00= | | | SUBTOTAL (3) | 0.00 | | |
| Claim | 1 | | 302.3(5) | | | |
| TOTAL: (\$) | | | • | | | |
| SMALL ENTITY DISCOUNT: (\$) | 4 | | | | | |
| SUBTOTAL (2) (\$) 400.00 | <u> </u> | TOTAL (\$) 400.00 | | | | |
| CORRESPONDENCE ADDRESS | <u> </u> | SIGNATURE BLOCK | | | | |
| Direct all correspondence to: | | | Respectfully submitted, | | | |
| Patent Administrator | | | - | | | |
| Goodwin Procter LLP | | α .11 | | | | |
| Exchange Place | Date: Nov | Date: November 16, 2006 M.C.A. | | | | |
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| Customer No. 051414 | | | Exchange Place | | | |
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